

ROUGH RIDER FUND FULL APPLICATION
ROUGH RIDER FUND * BOX 494 * WATFORD CITY, ND 58854 701-842-2533

BUSINESS NAME: _____	FOR INTERNAL USE ONLY
ADDRESS: _____ TYPE OF BUSINESS: _____ CITY/STATE/ZIP _____	DATE APPLICATION RECEIVED: _____
CONTACT PERSON: _____	Date To: _____
PHONE: _____ TAX ID# _____	ROUGH RIDER FUND: _____
DATE BUSINESS ESTABLISHED: _____	CITY COUNCIL: _____

AMOUNT REQUESTED: _____	New Business: _____ Existing Business: _____ Purchasing Existing Business: _____
PURPOSE OF REQUEST: _____	

KEY OWNER'S NAMES:	% OWNERSHIP	SOURCES OF FUNDS	AMOUNT
		A. OWNER EQUITY	\$ _____
		B.	\$ _____
		C.	\$ _____
KEY MANAGEMENT NAMES	TITLES:	D.	\$ _____
		E.	\$ _____
		F.	\$ _____
		TOTAL	\$ _____

USE OF FUNDS	SOURCE A	SOURCE B	SOURCE C	SOURCE D	SOURCE E	SOURCE F	TOTAL COST
LAND ACQUISITION							
BUILDING							
BUILDING PURCHASE							
SITE IMPROVEMENT							
MACHINERY/EQUIPMENT							
1) OFFICE EQUIPMENT							
2)							
3)							
INVENTORY							
WORKING CAPITAL							
OTHER:							
SUB TOTAL:							

NOTE: ATTACH A COMPLETE DESCRIPTION OF THE USE OF ROUGHRIDER FUNDS (ie: list of machinery/equipment to be purchased, description of building to be purchased or erected and inventory purchased).

SUMMARY OF COLLATERAL (LOANS ONLY)	PRESENT MARKET VALUE	PRESENT MORTGAGE BALANCE	ROUGHRIDER FUND COLLATERAL POSITION
A. Land and Building			
B. Machinery & Equipment			
C. Furniture & Fixtures			
D. Accounts Receivable			
E. Inventory			
F. Other			
TOTAL COLLATERAL:			

PRIMARY SOURCE OF REPAYMENT (DESCRIBE) _____

PRESENT INDEBTEDNESS: BUSINESS DEBTS, CONTRACTS, NOTES AND MORTGAGES PAYABLE AS OF _____ (DATE)

OWED TO	ORIGINAL AMOUNT	ORIGINAL DATE	PRESENT BALANCE	RATE OF INTEREST	MATURITY DATE	MONTHLY PAYMENT	SECURITY	STATUS: CURRENT/ DELINQ.

PRESENT BANKING REFERENCES:

FINANCIAL INSTITUTION & ADDRESS	TYPE OF ACCOUNT & NUMBER	CONTACT PERSON & TELEPHONE
1)		
2)		

KEY ADVISORS	NAME	FIRM/COMPANY/ADDRESS
ATTORNEY		
ACCOUNTANT		
INSURANCE AGENT		

Request for Taxpayer Identification Number and Certification

**Give form to the
 requester. Do not
 send to the IRS.**

Print or type
 See Specific Instructions on page 2.

Name	
Business name, if different from above	
Check appropriate box: <input type="checkbox"/> Individual/ Sole proprietor	<input type="checkbox"/> Corporation
<input type="checkbox"/> Partnership	<input type="checkbox"/> Other ▶
<input type="checkbox"/> Exempt from backup withholding	
Address (number, street, and apt. or suite no.)	Requester's name and address (optional)
City, state, and ZIP code	
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 2. For other entities, it is your employer identification number (EIN). If you do not have a number, see How to get a TIN on page 2.

Social security number								
or								
Employer identification number								

Note: If the account is in more than one name, see the chart on page 2 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 2.)

Sign Here	Signature of U.S. person ▶	Date ▶
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Purpose of Form

A person who is required to file an information return with the IRS must get your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to give your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

If you are a foreign person, use the appropriate Form W-8. See Pub. 515, Withholding of Tax on Nonresident Aliens and Foreign Entities.

Note: If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 30% of such payments after December 31, 2001 (29% after December 31, 2003). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- You do not furnish your TIN to the requester, or
- You do not certify your TIN when required (see the Part II instructions on page 2 for details), or
- The IRS tells the requester that you furnished an incorrect TIN, or
- The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions on page 2 and the separate Instructions for the Requester of Form W-9.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of Federal law, the requester may be subject to civil and criminal penalties.